

10/15/99

JC715 U.S. PTO

PTO/SB/05 (2/98) (modified)

Approved for use through 9/30/2000, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**NEW UTILITY  
PATENT APPLICATION  
TRANSMITTAL**(only for new nonprovisional applications under  
37 CFR 1.53(b))

Attorney Docket Number

21708-04479US (PD99-2699)

First Named Inventor

Krishna Asur Bharat

Total Pages in this  
Submission

94

Express Mail Label No.

EJ401538698US

JC715 U.S. PTO  
09/410410

65/51/01

**APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form (in duplicate)
- ☒ Checks Enclosed
2. ☒ Specification  
(preferred arrangement set forth below)
- ☐ Descriptive Title of the Invention
  - ☐ Cross Reference(s) to Related Case(s)
  - ☐ Statement Regarding Fed sponsored R & D
  - ☐ Background of the Invention
  - ☐ Brief Summary of the Invention
  - ☐ Brief Description of the Drawing(s)
  - ☐ Detailed Description
  - ☐ Claim or Claims
  - ☐ Abstract of the Disclosure
3. ☒ Drawing(s) ( when necessary per 35 USC 113)
4. Oath or Declaration
- a. ☒ New Declaration (two enclosed)
- ☒ Executed
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is  
checked). The entire disclosure of the prior application,  
from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure  
of the accompanying application and is hereby incorporated  
by reference therein.

**ACCOMPANYING APPLICATION PARTS**

6. ☒ Assignment & Assignment Recordation Cover  
Sheet (two assignments submitted)
7. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
8. ☒ Information Disclosure Statement & PTO-1449  
☒ Copies of IDS Citation(s)
9. ☐ Preliminary Amendment
10. Small Entity Statement
- ☐ New Statement enclosed
- ☐ Statement filed in prior application. Status still  
proper and desired
11. ☒ Return Postcard
12. ☐
13. ☐
14. ☐
15. ☐
16. ☐

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**18. CORRESPONDENCE ADDRESS**

NAME	Lawrence W. Granatelli, Esq. Fenwick & West LLP				
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Name (Print/Type)	Laura A. Majerus		Registration No. (Attorney/Agent)		33,417
Signature	Laura Majerus			Date	10-15-99

Rev. 10/15/99

# DUPLICATE COPY

PTO/SB/17 (6-95)(modified)  
Approved for use through 11/30/96, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	<b>Complete if Known</b>	
<b>FEE TRANSMITTAL</b>  <b>TOTAL AMOUNT OF PAYMENT</b>  Subtotal (1) + Subtotal (2) + Subtotal (3) = <b>(\$818.00)</b>		Application Number	Unassigned
		Filing Date	October 15, 1999
		First Named Inventor	Krishna Asur Bharat
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
		Attorney Docket Number	21708-04479US (PD99-2699)

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																								
<b>1. The Commissioner is hereby authorized to:</b>  <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.  <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †  <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.  Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP  A Duplicate Copy of this authorization is attached  <b>2. <input checked="" type="checkbox"/> Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Other	<b>3. ADDITIONAL FEES</b> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Due</th> </tr> </thead> <tbody> <tr><td>105/\$130</td><td>205/\$65</td><td>Surcharge - late filing fee or oath</td><td><input type="text"/></td></tr> <tr><td>127/\$50</td><td>227/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td><input type="text"/></td></tr> <tr><td>147/\$2,520</td><td>147/\$2,520</td><td>For filing a request for reexamination</td><td><input type="text"/></td></tr> <tr><td>115/\$110</td><td>215/\$55</td><td>Extension for response within first month†</td><td><input type="text"/></td></tr> <tr><td>116/\$380</td><td>216/\$190</td><td>Extension for response within second month†</td><td><input type="text"/></td></tr> <tr><td>117/\$870</td><td>217/\$435</td><td>Extension for response within third month†</td><td><input type="text"/></td></tr> <tr><td>118/\$1,360</td><td>218/\$680</td><td>Extension for response within fourth month†</td><td><input type="text"/></td></tr> <tr><td>128/\$1,850</td><td>228/\$925</td><td>Extension for response within fifth month†</td><td><input type="text"/></td></tr> <tr><td>119/\$300</td><td>219/\$150</td><td>Notice of Appeal</td><td><input type="text"/></td></tr> <tr><td>141/\$1,210</td><td>241/\$605</td><td>Petition to revive unintentionally abandoned application</td><td><input type="text"/></td></tr> <tr><td>142/\$1,210</td><td>242/\$605</td><td>Utility Issue Fee (Or Reissue)</td><td><input type="text"/></td></tr> <tr><td>143/\$430</td><td>243/\$215</td><td>Design Issue Fee</td><td><input type="text"/></td></tr> <tr><td>122/\$130</td><td>122/\$130</td><td>Petitions to the Commissioner</td><td><input type="text"/></td></tr> <tr><td>123/\$50</td><td>123/\$50</td><td>Petitions related to provisional applications</td><td><input type="text"/></td></tr> <tr><td>126/\$240</td><td>126/\$240</td><td>Submission of Information Disclosure Statement</td><td><input type="text"/></td></tr> <tr><td>581/\$40</td><td>581/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td><b>40.00</b></td></tr> <tr><td>146/\$760</td><td>246/\$380</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td><input type="text"/></td></tr> <tr><td>149/\$760</td><td>249/\$380</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td><input type="text"/></td></tr> <tr><td colspan="3">Other fee (specify):</td><td><input type="text"/></td></tr> <tr><td colspan="3">Other fee (specify):</td><td><input type="text"/></td></tr> <tr> <td colspan="2" style="text-align: right;"><b>SUBTOTAL (3)</b></td> <td colspan="2"><b>(\$40.00)</b></td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="text"/>	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>	147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="text"/>	115/\$110	215/\$55	Extension for response within first month†	<input type="text"/>	116/\$380	216/\$190	Extension for response within second month†	<input type="text"/>	117/\$870	217/\$435	Extension for response within third month†	<input type="text"/>	118/\$1,360	218/\$680	Extension for response within fourth month†	<input type="text"/>	128/\$1,850	228/\$925	Extension for response within fifth month†	<input type="text"/>	119/\$300	219/\$150	Notice of Appeal	<input type="text"/>	141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	<input type="text"/>	142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	<input type="text"/>	143/\$430	243/\$215	Design Issue Fee	<input type="text"/>	122/\$130	122/\$130	Petitions to the Commissioner	<input type="text"/>	123/\$50	123/\$50	Petitions related to provisional applications	<input type="text"/>	126/\$240	126/\$240	Submission of Information Disclosure Statement	<input type="text"/>	581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<b>40.00</b>	146/\$760	246/\$380	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>	149/\$760	249/\$380	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>	Other fee (specify):			<input type="text"/>	Other fee (specify):			<input type="text"/>	<b>SUBTOTAL (3)</b>		<b>(\$40.00)</b>	
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(Col. 1)		(Col. 2)		(Col. 3)			
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x	Fee
TOTAL	21	minus*	20 or	=	1	x	18.00
INDEP	3	minus*	3 or	=	0	x	78.00
<input type="checkbox"/> First presentation of multiple dependent claim							
<b>SUBTOTAL (2)</b>							<b>(\$18.00)</b>

\* Subtract the greater number of Col. 2  
\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	Laura A. Majerus	Reg. Number	33,417
Signature	<i>Laura Majerus</i>	Date	10-15-99

† Request for Extension of Time per 37 CFR 1.136(a)(3) made hereby

H9000/01405/941420 V

Rev. 10/15/99